

### Firm Overview

**Fortifinancial:** was founded by Jeff Lisle to provide focused solutions to investors and fund managers in alternative investments. We provide ODD support to institutional investors and support allocators and the buy-side with implementation of best practices.

**Mission:** to foster a transparent relationship between investors and investment managers by identifying and mitigating operational risk.

**Services:** we assist managers with launching their firms and/or implementing best practices. A sample of our 70 projects is below.

**Clients:** since founding the firm in 2012, we have provided support to ~70 projects, 10 for repeat clients. Clients include allocators school endowments, charity foundations, family offices, Fund-of-Funds, hedge funds, VCs, PE funds, and long only managers.

**Staff:** team of 6 in total including 5 with senior or C-level experience in alternative assets and a former GC/CCO.

### Jeff Lisle, Founder and CEO



Jeff Lisle has 25 years of due diligence, accounting, compliance, and operations experience in traditional and alternative assets. Prior to founding Fortifinancial, Jeff was the Chief Operating Officer and Partner at an SEC-registered investment manager offering a variety of credit products. Previously, Jeff was Partner and Head of ODD for North America at Albourne Partners. In this capacity, Jeff launched and supervised the US ODD diligence program and team which serviced over 200 clients and conducted or supervised thousands of ODD projects. Prior to Albourne, Jeff had 9 years of buy-side and administration experience running large back offices and balance sheets in hedge funds, pensions, and insurance. Jeff received his BA in Economics from McMaster University in 1996. Jeff is a Chartered Financial Analyst ("CFA").

### Sample of Fortifinancial Projects



**Beijing Venture Capital**  
2017

Provided consulting for first-time GP. Documented all procedures and assisted with best practice implementations

**AUM: \$200M**



**Hong Kong Macro**  
2017

Supported existing manager in transition from family office to marketable hedge fund. Documented all policies and provided general consulting.

**AUM: \$500M**



**Long Only Multi-Project**  
2016 and 2017

Engaged for RFPs for compliance consultant and new administrator. Engaged to document first DDQ. Conducted 2016 ACR.

**AUM: \$4.5B**



**Family Office Multi-Project**  
2015

Best practice assessment across multiple business lines. Documented core procedures. Assisted with replacement/recruitment of new CFO

**AUM: \$5B**



**EVENT-DRIVEN; RETURN CLIENT | TUNE-UP**  
February 2015

Engaged for full refresh review after initial visit in 2013. We recommended hiring dedicated CCO and full document review. Engaged to document Cybersecurity Policy.

**AUM: \$450M**



**LSE | NEW LAUNCH**  
January 2015

We wrote the firm's Compliance Manual, Code of Ethics, BCP/DR Plan, Cybersecurity Policy and DDQ.

**AUM: \$100M**



**SYSTEMATIC; RETURN CLIENT | COMPLIANCE SUPPORT**  
November 2014

Re-engaged by Systematic Manager for a short project to assist with administration of the compliance program after a key staff exit.

**AUM: \$200M**



**LSE | U.S. LAUNCH OF FOREIGN MANAGER**  
October 2014

We wrote the U.S. version of the firm's Compliance Manual and DDQ and continue to provide ongoing ad-hoc support.

**AUM: \$2B**



**MULTI-STRATEGY | TUNE-UP**  
January 2014

Full review resulted in changes to key processes including valuation and trade collection. We assisted with implementation of formal compliance checklist.

**AUM: \$5B**